

# Sec 187

**FULBRIGHT & JAWORSKI L.L.P.**

A REGISTERED LIMITED LIABILITY PARTNERSHIP

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June 21, 2000

FILE: IOWA:022

**CERTIFICATE OF MAILING**  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below.

June 21, 2000  
Date

Steven L. Highlander

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

RE: *SN 09/448,613 "METHODS AND COMPOSITIONS FOR INCREASING THE INFECTIVITY OF GENE TRANSFER VECTORS" - Paul McCray et al.*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Application mailed December 21, 1999;
- (2) Declaration executed by Paul B. McCray, Jr., Guoshun Wang and Beverly Davidson;
- (3) Three Declarations for Patent Application and Power of Attorney executed on behalf of Mordechai Bodner, Steven M. Herrmann and Douglas J. Jolly;
- (4) Power of Attorney on behalf of The University of Iowa Research Foundation;
- (5) Request for Extension of Time;
- (6) Information Disclosure Statement;
- (7) Form PTO-1449;
- (8) Copies of references A1-A8, B1-B5 and C1-C99;

Assistant Commissioner for Patents  
June 21, 2000  
Page 2



- (9) Copy of Notice to File Missing Parts of Application filed-Filing Date Granted;
- (10) Check in the amount of \$3470.00; and
- (11) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Account No.: 50-1212/10008931/SLH.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "SLH".

Steven L. Highlander  
Reg. No. 37,642

SLH/mar

Encl: as noted



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JCS03 U.S. PTO  
09/448613  
11/22/99

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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07/07/2000

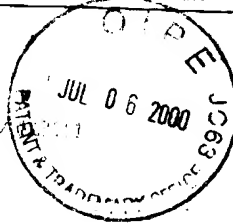
11/22/99

MICRAY

1044

APPROVED FOR FILING  
AND RECEIVED  
HOUSTON TX 11/20/99-2138

11/22/99



NOT ASSIGNED

1044

DATE MAILED:

12/21/99

NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 171.10

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.  
Applicant must submit \$ 171.10 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:  
\$ 171.10 for 50 total claims over 20.  
\$ 171.10 for 5 independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

DOCKETED  
DATE 2/21/00  
INITIALS chc

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

7/21/00

Resp. to Miss. Pts  
Final  
Deadline

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

07/07/2000 MPASASO 00000002 501212 09448613

Customer Service Center  
Patent Examination Division (703) 308-1202

01 FC:105	130.00 OP
02 FC:103	900.00 OP
03 FC:102	390.00 OP
04 FC:101	690.00 OP
70.00 CH	

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Paul McCray et al.

Serial No.: 09/448,613

Filed: November 22, 1999

For: METHODS AND COMPOSITIONS FOR  
INCREASING THE INFECTIVITY OF  
GENE TRANSFER VECTORS



Group Art Unit: 1641

Examiner: Unknown

Atty. Dkt. No.: IOWA:022/SLH

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

4/24/00

Date

Steven L. Highlander

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated December 21, 1999, there are enclosed herewith:

- (a) Declaration executed on behalf of Paul B. McCray, Jr., Guoshun Wang and Beverly Davidson;
- (b) Three Declarations for Patent Application and Power of Attorney executed on behalf of Mordechai Bodner, Steven M. Herrmann and Douglas J. Jolly;
- (c) A Power of Attorney on behalf of The University of Iowa Research Foundation;

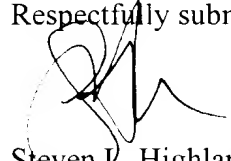
- (d) Our check in the amount of \$3470.00 to cover the basic filing fee (\$690 - utility large entity) ; surcharge for late filing (\$130.00); additional claims fee (\$1290.00); four month extension of time fee (\$1360.00);
- (e) A copy of Notice to File Missing Parts of Application-Filing Date Granted; and
- (f) A Request for Extension of Time of four months to and including June 21, 2000.
- Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to The University of Iowa Research Foundation and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Account No.: 50-1212/10008931/SLH.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Steven L. Highlander  
Reg. No. 37,642  
Attorney for Applicants

FULBRIGHT & JAWORSKI, L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 418-3000

Date:

4/21/00